



Patent  
Attorney Docket: 246/180

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: ) Group Art Unit: 1633  
VEGETO, Elisabetta et al. )  
Serial No.: 09/465,133 )  
Filed: December 16, 1999 )  
For: MUTATED STEROID HORMONE )  
RECEPTORS, METHODS FOR THEIR USE )  
AND MOLECULAR SWITCH FOR GENE )  
THERAPY )

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TRANSMITTAL LETTER

BOX NO FEE  
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Washington, D.C. 20231

Dear Sir:

Please find the following enclosures:

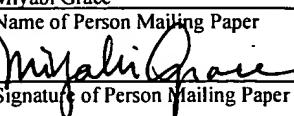
1. Amendment (6 pages);
2. Request for Corrected Filing Receipt;
3. Copies of the returned postcard and Preliminary Amendment filed on December 16, 1999;
4. Copy of the Corrected Filing Receipt dated January 4, 2002 with markings in red ink; and
5. Return postcard.

LA-232213.1

CERTIFICATE OF MAILING  
(37 C.F.R. §1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

April 3, 2002  
Date of Deposit

Miyabi Grace  
Name of Person Mailing Paper  
  
Signature of Person Mailing Paper

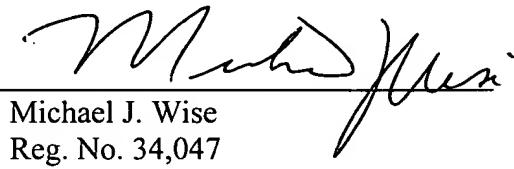
Patent  
Attorney Docket: 246/180

No fee is believed due with this transmittal. If, however, Applicants are in error in this regard, the Commissioner is authorized to charge required fees from Lyon & Lyon's Deposit Account No. **12-2475**.

Respectfully submitted,  
LYON & LYON LLP

Dated: 4/3/02

By:

  
Michael J. Wise  
Reg. No. 34,047



**29836**

PATENT TRADEMARK OFFICE

LYON & LYON LLP / VALENTIS, INC.  
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#1415 Receipt  
4/23/02 Patent  
Attorney Docket No. 246/180

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: )  
VEGETO, Elisabetta et al. ) Group Art Unit: 1633  
Serial No.: 09/465,133 ) Examiner: Christopher Drabik  
Filed: December 15, 1999 )  
For: MUTATED STEROID HORMONE )  
RECEPTORS, METHODS FOR )  
THEIR USE AND MOLECULAR )  
SWITCH FOR GENE THERAPY )

REQUEST FOR CORRECTED FILING RECEIPT

Box NO FEE  
Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Applicants respectfully request that a new Corrected Filing Receipt issue for the above-referenced application. The Corrected Filing Receipt mailed by the U.S. Patent and Trademark Office on January 4, 2002 does not indicate the correct Domestic Priority data and Attorney Docket Number. Please correct the Filing Receipt to reflect the following:

- 1) Priority Claim to include at the end "WHICH IS A CIP OF 07/882,771 05/14/1992 PAT 5,364,791" and
- 2) Attorney Docket Number changed from "213/103" to "246/180."

LA-229085.2

CERTIFICATE OF MAILING  
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Patent  
Attorney Docket No. 246/180

Submitted herewith as support of the Applicants' claim for the right of priority and correct docket number are copies of the Preliminary Amendment filed with this continuation patent application on December 16, 1999 and the postcard returned by the USPTO confirming receipt of this paper. A copy of the Corrected Filing Receipt with corrections marked in red is also enclosed for your convenience.

If you have any questions or comments, please do not hesitate to contact the undersigned. Applicants believe that no fee is necessary at this time. However, if any fees are associated with this request, the Commissioner is authorized to charge the necessary amount from Lyon & Lyon's Deposit Account No. 12-2475.

Respectfully submitted,  
LYON & LYON LLP

Dated: 4/3/02

By: Michael J. Wise  
Michael J. Wise  
Reg. No. 34,047



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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/465,133	12/15/1999	1633	598	246/100- 246/180	9	31	1

CONFIRMATION NO. 8491

CORRECTED FILING RECEIPT



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LYON & LYON LLP  
633 WEST FIFTH STREET  
SUITE 4700  
LOS ANGELES, CA 90071

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Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Custom Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

ELISABETTA VEGETO, MILAN, ITALY;  
DONALD P. McDONNELL, CHAPEL HILL, NC;  
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MING-JER TSAI, HOUSTON, TX;

**Domestic Priority data as claimed by applicant**

THIS APPLICATION IS A CON OF 09/209,981 12/09/1998  
WHICH IS A DIV OF 08/479,846 06/06/1995 PAT 5,874,534  
WHICH IS A CON OF 07/939,246 09/02/1992-ABN  
WHICH IS A CIP OF 07/882,771 05/14/1992 PAT 5,364,791

**Foreign Applications**

If Required, Foreign Filing License Granted 02/01/2000

Projected Publication Date: Not Applicable, filed prior to November 29,2000

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title

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U.S. PROSECUTION

MUTATED STEROID HORMONE RECEPTORS, METHODS FOR THEIR USE AND  
MOLECULAR SWITCH FOR GENE THERAPY

Preliminary Class

530

LICENSE FOR FOREIGN FILING UNDER **RECEIVED**  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15 APR 22 2002

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**NOT GRANTED**

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Please acknowledge receipt of the following by affixing hereon the Patent and Trademark Office date stamp and returning this card to our office.

Applicant: VALENTIS, INC.  
Serial No.: Unassigned; Continuation of USSN 09/209,981  
Inventor: Vegeto, et al.  
For:

**MUTATED STEROID HORMONE RECEPTORS, METHODS FOR THEIR USE AND  
MOLECULAR SWITCH FOR GENE THERAPY**

Attorney(s): Charles S. Berkman  
Docket No.: 246/180  
Date of Deposit: December 16, 1999  
Express Mail: EL088596130US  
Enclosure(s): Utility Patent Application Including Transmittal (1 pg); Preliminary Amendment (8 pgs); Fee Transmittal; Statement Regarding Sequence Listing; paper copy of Sequence Listing; copy of Substitute Declaration 8 pgs; Information Disclosure Stmt. w/1449 (7 pgs); 35 pgs. of Description, Claims 13 pgs., Drawing 9 pgs.; Abstract 1 pg.; and return postcard

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SD-121045.1

JC525 U. S. PTO  
09/465133  
12/16/99